

FOR INSTRUCTIONS, SEE BACK OF FORM

CHECK ONE:

- ☒ This is an **Initial*** Statement of Organization
☐ This is an **amended*** Statement of Organization

*An initial Statement of Organization must be filed within 10 days of the committee's accepting contributions, making expenditures, or incurring indebtedness exceeding \$750. Amendments must be filed within 30 days of a change. Penalties may be imposed for late-filed Statements of Organization. A candidate with an open committee that exceeds \$750 in activity for another office shall file within 10 days either a new or amended DR-1 disclosing information concerning the campaign for the new office sought.

Reset Form

FORM

DR-1

(Rev. 07/2009)

STATEMENT

OF
ORGANIZATION

For Office Use Only

Comm. # _____

Indexed _____

Audited _____

Computer _____

COMMITTEE NAME ↓ ↓ (A candidate's committee must include the candidate's last name in the name of the committee.) If amending committee name, put old name in ().

MARK J. ROCHA FOR CITY COUNCIL

IMPORTANT: Indicate type of committee you are reporting for: 6

- (1) Statewide/Legislative/Judge Standing for Retention Candidate (2) Statewide PAC (3) State Party (4) County Central Committee
 (5) County Candidate (6) City Candidate (7) School Board or Other Political Subdivision Candidate (8) County PAC (9) City PAC
 (10) School Board or Other Political Subdivision PAC (11) Local Ballot Issue (including committee involved in multiple city/county ballot issues)

COMMITTEE TREASURER (mandatory for all committees)

Name ↓ ANN PRESTON
 Mailing Address ↓ 537 2ND ST.
 City, State ↓ Zip Code ↓ WEST DES MOINES IA 50265
 Phone (515) 729-6691
 e-Mail ainedep4@yahoo.com

COMMITTEE CHAIR (mandatory except for a candidate's committee)

Name ↓ JANE STEPHENSON
 Mailing Address ↓ 516 3RD ST.
 City, State ↓ Zip Code ↓ WEST DES MOINES IA 50265
 Phone (515) 480-1543
 e-Mail stephensonej@live.com

INDICATE PURPOSE OF COMMITTEE - Check One Box ☒ Advocate for/against candidate(s)

Comment or description:

☐ Advocate for ballot issue(s)

☐ Advocate against ballot issue(s)

All Candidates Enter:

Office Sought: CITY COUNCIL WARD 1

Political Party (if applicable) _____

District: WARD 1 WDM

Year Standing for Election: 2009

Bank Account Name (must match committee name)

MARK J ROCHA FOR CITY COUNCIL
 Name of Financial Institution/type of Account ↓
WELLS FARGO BANK/CHECKING
 Mailing Address ↓
655 S. 50TH ST.
 City ↓ State ↓ Zip ↓
WEST DES MOINES IA 50265

County/Local Candidates and Local Ballot Committees Enter:

County: WDM, POLK CO.

(If active in multiple ballot issue elections, attach list of counties)

Date of Election: 11-3-09

Candidate name & Address or Parent Entity (PACs, if applicable), Affiliate, or Sponsor

MARK J. ROCHA
 Mailing Address ↓
2316 HILLSIDE AVE.
 City ↓ State ↓ Zip ↓
WEST DES MOINES IA 50265
 Phone (515) 480-6886
 e-Mail MARKTROCHA@CWA7102.ORG

STATEMENT OF AFFIRMATION: By filing this document the committee affirms the following:

- The committee and all persons connected with the committee understand that they are subject to the laws in Iowa Code chapters 68A and 68B and the administrative rules in Chapter 351 of the Iowa Administrative Code.
- That Iowa Code section 68A.402 and rule 351—4.9 require the filing of disclosure reports and that the failure to file these reports on or before the required due dates subjects the candidate or chairperson (in the case of committees other than a candidate's committee) to the automatic assessment of a civil penalty and the possible imposition of other criminal and civil sanctions.
- That Iowa Code section 68A.405 and rules 351—4.38 through 4.43 require the placement of the words "paid for by" and the name of the committee on all political materials except for those items exempted by statute or rule. A committee that wishes to register a committee name for purposes of using the shorter "paid for by" and does not intend to cross the \$750 filing threshold shall file the Form DR-SFA form in lieu of filing this form.
- That Iowa Code section 68A.503 and rules 351—4.44 through 4.52 prohibit the receipt of corporate contributions by all committees except for statewide and local ballot issue PACs.
- A candidate and a candidate's committee may only expend campaign funds as permitted by Iowa code sections 68A.301 through 68A.303 and rule 351—4.25.
- That the committee will continue to file disclosure reports until all activity has ceased, committee funds spent, debts resolved, and a final report and a statement of dissolution (DR-3) has been filed.

Signature of Treasurer

Date Signed

Signature of Candidate, OR, for all other committees, Chairperson

Date Signed